

BOARD OF TRUSTEES**Compliance and Audit Committee**

Thursday, November 9, 2023

10:00 A.M.

(or immediately following the Budget and Finance Committee)

BOARD ROOM

4800 Fournace Place, Bellaire, Texas 77401

The meeting may be viewed online at: <http://harrishealthtx.swagit.com/live>

Notice: Some Board Members may participate by videoconference.

Mission

Harris Health is a community-focused academic healthcare system dedicated to improving the health of those most in need in Harris County through quality care delivery, coordination of care, and education.

AGENDA

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|--|----------------------------|---------------|
| I. Call to Order and Record of Attendance | Ms. Barbie Robinson | 2 min |
| II. Approval of the Minutes of Previous Meeting | | 1 min |
| <ul style="list-style-type: none">• Compliance and Audit Committee Meeting – September 14, 2023 | | |
| III. Presentation Regarding the Harris Health System Independent Auditor's Pre-audit Communication for the Fiscal Year Ended September 30, 2023 – Mr. Chris Clark, Forvis | | 10 min |
| IV. Presentation Regarding the Harris Health System FY2023 Internal Audit Update – Ms. Errika Perkins, Chief Assistant County Auditor and Ms. Sharon Brantley Smith, Audit Director | | 10 min |
| V. Consideration of Recommendation of Approval of the Harris Health System FY2024 Internal Audit Charter to the Harris Health System Board of Trustees – Ms. Errika Perkins, Chief Assistant County Auditor and Ms. Sharon Brantley Smith, Audit Director | | 5 min |
| VI. Discussion Regarding 2024 Compliance and Audit Committee Meeting Frequency | Ms. Barbie Robinson | 5 min |

VII. Executive Session

Ms. Barbie Robinson 55 min

- A. Presentation Regarding the Harris County Auditor's FY2024 Annual Risk Assessment and Audit Plan Process, Pursuant to Tex. Health & Safety Code Ann. §161.032, Tex. Occ. Code Ann. §151.002, Tex. Gov't Code Ann. §418.183 and Tex. Gov't Code Ann. §551.089, Including Consideration of Recommendation of Approval to the Harris Health System Board of Trustees Upon Return to Open Session – **Ms. Errika Perkins, Chief Assistant County Auditor and Ms. Sharon Brantley Smith, Audit Director**

(20 min)

- B. Report by the Executive Vice President, Chief Compliance and Risk Officer, Regarding Compliance with Medicare, Medicaid, HIPAA and Other Federal and State Health Care Program Requirements, Including Status of Fraud and Abuse Investigations, Pursuant to Tex. Health & Safety Code Ann. §161.032, and Including Consideration of Recommendation of Approval of the Compliance Program Audit Plan and Internal Quality Audit Plan to the Harris Health System Board of Trustees Upon Return to Open Session – **Ms. Carolynn Jones**

(25 min)

- C. Presentation Regarding Harris County Auditor's Report on High-priority Management Action Plans (MAPs) Related to the Telemedicine Audit. The Audit and any Related Information is Proprietary, Privileged, Confidential or Otherwise Legally Exempt from Disclosure, Pursuant to Tex. Health & Safety Code Ann. §161.032, Tex. Occ. Code Ann. §151.002, and Tex. Gov't Code Ann. §418.183 – **Ms. Errika Perkins, Chief Assistant County Auditor and Ms. Sharon Brantley Smith, Audit Director**

(10 min)

VIII. Reconvene

Ms. Barbie Robinson 1 min

IX. Adjournment

Ms. Barbie Robinson 1 min