

BOARD OF TRUSTEES

Compliance and Audit Committee

Thursday, May 11, 2023

10:00 A.M.

(or immediately following the Budget and Finance Committee)

BOARD ROOM

4800 Fournace Place, Bellaire, Texas 77401

The meeting may be viewed online at: <http://harrishealthtx.swagit.com/live>

Notice: Some Board Members may participate by videoconference.

Mission

Harris Health is a community-focused academic healthcare system dedicated to improving the health of those most in need in Harris County through quality care delivery, coordination of care, and education.

AGENDA

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| I. Call to Order and Record of Attendance | Ms. Barbie Robinson | 1 min |
| II. Approval of the Minutes of Previous Meeting | | 1 min |
| • Compliance and Audit Committee Meeting – February 9, 2023 | | |
| III. Presentation Regarding 2023 Culture of Compliance Survey Results | | 10 min |
| – <i>Ms. Carolynn Jones</i> | | |
| IV. Presentation Regarding the Harris Health System Internal Audit Update | | 10 min |
| – <i>Ms. Errika Perkins, Chief Assistant County Auditor and Ms. Sharon Brantley Smith, Audit Director</i> | | |
| V. Information Only | Ms. Barbie Robinson | 1 min |
| A. Independent Auditor's Pre-audit Communication for the Harris County Hospital District 401(k) Plan Year Ended December 31, 2022 | | |
| – <i>Mr. Ryan Singleton, FORVIS</i> | | |
| B. Independent Auditor's Pre-audit Communication for the Harris County Hospital District Pension Plan Year Ended December 31, 2022 | | |
| – <i>Mr. Ryan Singleton, FORVIS</i> | | |
| VI. Executive Session | Ms. Barbie Robinson | 65 min |
| A. Report by the Executive Vice President, Chief Compliance and Risk Officer, Regarding Compliance with Medicare, Medicaid, HIPAA and Other Federal and State Health Care Program Requirements, Including Status of Fraud and Abuse Investigations, Pursuant to Texas Health & Safety Code §161.032, and Possible Action Regarding this Matter Upon Return to Open Session – Ms. Carolynn Jones | | <i>(15 min)</i> |

- B.** Report by the Senior Vice President, Chief Cyber & Information Security Officer, Regarding Harris Health System's Cybersecurity Review, Pursuant to Tex. Gov't Code §418.183, Tex. Gov't Code §551.089, and Tex. Health & Safety Code §161.032 (15 min)
– **Mr. Jeffrey Vinson**

- C.** Discussion Regarding Harris County Auditor's Reports on the Procurement Audit and the Vendor Payment Timeliness Audit. These Audits and Any and All Information are Proprietary, Privileged, Confidential or Otherwise Legally Exempt from Disclosure, Pursuant to Tex. Health & Safety Code §161.031 - §161.033 and Tex. Occ. Code §151.002 and §160.002 - §160.015 (15 min)
– **Ms. Errika Perkins, Chief Assistant County Auditor and Ms. Sharon Brantley Smith, Audit Director**

- D.** Consultation with Attorney Regarding Qui Tam Litigation Matter, Pursuant to Tex. Gov't Code Ann. §551.071 and Possible Action Regarding this Matter Upon Return to Open Session (20 min)
– **Ms. Sara Thomas**

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| VII. Reconvene | Ms. Barbie Robinson | 1 min |
| VIII. Adjournment | Ms. Barbie Robinson | 1 min |