

BOARD OF TRUSTEES**Compliance and Audit Committee**

Thursday, February 9, 2023
10:00 A.M.

BOARD ROOM
4800 Fournace Place, Bellaire, Texas 77401

The meeting may be viewed online at: <http://harrishealthtx.swagit.com/live>

Notice: Some Board Members may participate by videoconference.

Mission

Harris Health is a community-focused academic healthcare system dedicated to improving the health of those most in need in Harris County through quality care delivery, coordination of care, and education.

AGENDA

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| I. Call to Order and Record of Attendance | Ms. Barbie Robinson | 1 min |
| II. Approval of the Minutes of Previous Meeting | | 2 min |
| <ul style="list-style-type: none">• Compliance and Audit Committee Meeting – August 11, 2022• Compliance and Audit Committee Meeting – November 10, 2022 | | |
| III. Presentation Regarding the Harris Health System Independent Auditor's Report and Overview for the Stub Year Ended September 30, 2022 | | 10 min |
| <i>– Ms. Victoria Nikitin and Mr. Chris Clark, FORVIS</i> | | |
| IV. Consideration of Acceptance of the Harris Health System Independent Auditor's Report and Financial Statements for the Stub Year Ended September 30, 2022 | | 2 min |
| <i>– Ms. Victoria Nikitin</i> | | |
| V. Consideration of Acceptance of the Harris Health System Single Audit Report of Federal and State Award Programs for the Stub Year Ended September 30, 2022 | | 5 min |
| <i>– Ms. Victoria Nikitin</i> | | |
| VI. Consideration of Approval of Proposed Revisions to Harris Health System's Code of Conduct | | 3 min |
| <i>– Ms.Carolynn Jones</i> | | |
| VII. Presentation Regarding the Harris Health System Internal Audit Update | | 10 min |
| <i>– Mr. Michael Post, County Auditor, Ms. Errika Perkins, Chief Assistant County Auditor and Ms. Sharon Brantley Smith, Audit Director</i> | | |

VIII. Executive Session**Ms. Barbie Robinson 55 min**

- A. Report by the Executive Vice President, Chief Compliance and Risk Officer, Regarding Compliance with Medicare, Medicaid, HIPAA and Other Federal and State Health Care Program Requirements, Including Status of Fraud and Abuse Investigations, Pursuant to Texas Health & Safety Code §161.032, and Possible Action Regarding this Matter Upon Return to Open Session – ***Ms.Carolynn Jones*** (15 min)
- B. Report by the Senior Vice President, Chief Cyber & Information Security Officer, Regarding Harris Health System’s Cybersecurity Review, Pursuant to Tex. Gov’t Code §418.183, Tex. Gov’t Code §551.089, and Tex. Health & Safety Code §161.032 – ***Mr. Jeffrey Vinson*** (10 min)
- C. Discussion Regarding Harris County Internal Audit Report on Outstanding High-priority Management Action Plans, Pursuant to Tex. Health & Safety Code Ann. §161.032, Tex. Occ. Code Ann. §151.002, Tex. Gov’t Code §418.183 and Tex. Gov’t Code §551.089 – ***Mr. Michael Post, County Auditor, Ms. Errika Perkins, Chief Assistant County Auditor and Ms. Sharon Brantley Smith, Audit Director*** (10 min)
- D. Discussion Regarding Harris County Internal Audit Report on Harris Health’s Correctional Health Mental Health Services, Pursuant to Tex. Health & Safety Code Ann. §161.032, Tex. Occ. Code Ann. §160.007 and Tex. Occ. Code Ann. §151.002 – ***Mr. Michael Post, County Auditor, Ms. Errika Perkins, Chief Assistant County Auditor and Ms. Sharon Brantley Smith, Audit Director*** (10 min)
- E. Discussion Regarding Harris County Internal Audit Report on the Engagement to Facilitate Harris Health's Implementation of Recommendations from Alvarez and Marsal's Gap Assessment, Pursuant to Tex. Health & Safety Code Ann. §161.032, Tex. Occ. Code Ann. §160.007, and Tex. Occ. Code Ann. §151.002 – ***Mr. Michael Post, County Auditor, Ms. Errika Perkins, Chief Assistant County Auditor and Ms. Sharon Brantley Smith, Audit Director*** (10 min)

IX. Reconvene**Ms. Barbie Robinson 1 min****X. Adjournment****Ms. Barbie Robinson 1 min**