

BOARD OF TRUSTEES

Compliance and Audit Committee

8:00 A.M.

Thursday, June 10, 2021

This meeting will be conducted by videoconference. Per temporary meeting suspension rules issued by Governor Greg Abbott due to the COVID-19 pandemic, the meeting may be viewed online:

<http://harrishealthtx.swagit.com/live>

Mission

Harris Health is a community-focused academic healthcare system dedicated to improving the health of those most in need in Harris County through quality care delivery, coordination of care, and education.

AGENDA

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| I. Call to Order and Record of Attendance | Dr. Andrea Caracostis | 2 min |
| II. Approval of the Minutes of Previous Meeting | Dr. Andrea Caracostis | 1 min |
| Compliance Committee Meeting – April 8, 2021 | | |
| III. Presentation of the Harris Health System Independent Auditor's Reports and Overview for the Fiscal Year Ended February 28, 2021 | | 15 min |
| – <i>Mr. Chris Clark, BKD, LLP</i> | | |
| IV. Items for Board Committee Approval | Dr. Andrea Caracostis | 3 min |
| A. Consideration of Acceptance of the Harris County Hospital District Pension Plan Independent Auditor's Report and Financial Statements for the Years Ended December 31, 2020 and 2019 | | <i>(1 min)</i> |
| B. Consideration of Acceptance of the Harris County Hospital District 401(k) Plan Independent Auditor's Report and Financial Statements for the Years Ended December 31, 2020 and 2019 | | <i>(1 min)</i> |
| C. Consideration of Acceptance of the Harris Health System Independent Auditor's Report and Financial Statements for the Fiscal Years Ended February 28, 2021 and February 29, 2020 | | <i>(1 min)</i> |
| V. Presentation Regarding Harris Health's Internal Audit Status Update | | 10 min |
| – <i>Mr. Michael Post, Harris County Auditor, and Ms. Errika Perkins, Chief Assistant County Auditor</i> | | |
| VI. Executive Session | Dr. Andrea Caracostis | 70 min |
| A. Harris County Auditor's Report on the Human Resource Contractor Onboarding Engagement, Pursuant to Tex. Health & Safety Code Ann. §161.032 – Mr. Michael Post, Harris County Auditor, and Ms. Errika Perkins, Chief Assistant County Auditor | | <i>(10 min)</i> |
| B. Harris County Auditor's Status Update on the Engagement to Facilitate Harris Health's Implementation of Recommendations from Alvarez | | <i>(10 min)</i> |

and Marsal's Gap Assessment, Pursuant to Tex. Health & Safety Code Ann. §161.032, Tex. Occupations Code Ann. §160.007, and Tex. Occupations Code Ann. §151.002, and Possible Action Regarding this Matter Upon Return to Open Session – **Mr. Michael Post, Harris County Auditor, and Ms. Errika Perkins, Chief Assistant County Auditor**

- C. Report by the Senior Vice President, Chief Cyber & Information Security Officer, Regarding Harris Health System's Information Security Program, Pursuant to Tex. Gov't Code §418.183, Tex. Gov't Code §551.089, and Tex. Health & Safety Code §161.032, and Possible Action Upon Return to Open Session – **Mr. Jeffrey Vinson** (10 min)
- D. Report by the Executive Vice President, Chief Compliance and Risk Officer, Regarding Compliance with Medicare, Medicaid, HIPAA and Other Federal and State Health Care Program Requirements Including an Update on the CLIA Survey and a Status of Fraud and Abuse Investigations, Pursuant to Tex. Health & Safety Code Ann. §161.032, and Possible Action Regarding this Matter Upon Return to Open Session – **Ms.Carolynn Jones** (20 min)
- E. Report by the Executive Vice President, Chief Compliance and Risk Officer, Regarding Enterprise Risk Matters, Pursuant to Tex. Health and Safety Code Ann. §161.032 and Tex. Gov't Code Ann. §551.071 – **Ms. Carolynn Jones and Ms. Sara Thomas** (10 min)
- F. Consultation with Attorney Regarding Patient Safety Incident, Pursuant to Tex. Gov't Code Ann. §551.071, Tex. Health & Safety Code Ann. §161.032, Tex. Occ. Code Ann. §160.007, and Tex. Occ. Code Ann. §151.002, and Possible Action Regarding this Matter Upon Return to Open Session – **Ms. Sara Thomas** (10 min)

VII. Reconvene

Dr. Andrea Caracostis 2 min

VIII. Adjournment

Dr. Andrea Caracostis 1 min